



Working with OSEP and TA Centers Regarding MOE and Excess Costs: A Delaware Story

CIFR and IDC in collaboration
with NCSI Fiscal Team.



Session Objectives

- Understand Delaware's Specific Circumstances and TA Needs
- Understand How OSEP Funded TA Centers Coordinate Work in States
- Learn About How TA Centers Develop State Specific Products
- Learn About How TA Centers Use State Work to Develop Universal Products
- Understand TA Center Work to Build SEA Capacity
- Discuss Ways that TA Centers Can Work for You

Session Overview



- Delaware's Story
- TA Center Coordination
- Development of Custom Products for the State
- Development of Universal Products for All States
- Center TA for Capacity Building
- How TA Centers can Work for You

A Delaware Story: Setting the Stage



- Delaware staff had been working with OSEP staff for over a year to improve the MOE and Excess Cost workbooks through phone calls and a face to face meeting. We still did not have our calculations correct according to the new regs for MOE and its differences from Excess Cost.
- OSEP reorganized and created the TA Centers to assist states in many areas of compliance and results.
- Delaware engaged in very positive experiences with NCSI and IDC regarding SSIP. We have a very trusting relationship.
- Timing for TA in MOE and Excess Cost was ripe and URGENT.

A Delaware Story: What I Expected From CIFR



- Trust
- Expertise in the fiscal area of MOE
- Positive working relationships
- Flexibility in providing TA through phone calls, webinars and face to face
- Ability to verify information/procedures/ideas with colleagues
- Patience

A Delaware Story: What I Received From CIFR



All that was received on slide 5 and then some! Including, an MOE workbook that has been approved by OSEP.

A Delaware Story: What's Next?



CIFR and NCSI continued fiscal assistance to DE staff in training LEA Business Managers on policy and procedures for MOE and Excess Cost.

TA Center Coordination

How TA Centers Work Together Behind the Scenes

TA Center Coordination *(continued)*

- DE utilized an independent facilitator for SSIP and monitoring work.
- Facilitator and DE recognized need for fiscal involvement based on 2012 Fiscal Letter and contacted IDC, NCSI who were already working with DE and CIFR.
- OSEP conducted site visit with NCSI participating by phone. NCSI, followed up with DE, facilitator, CIFR and IDC to determine next steps following visit.
- DE decided to involve CIFR. All parties were coordinated by facilitator.

TA Center Coordination

(continued from slide 9)

- DE and TA providers decided to initially focus on MOE, so CIFR took lead, with NCSI participating on calls to coordinate MOE with Excess Cost. IDC decided no longer needed at this stage, but available on request.
- While MOE workbook development was wrapping up, DE worked on policy and procedures manual for multiple fiscal areas and submitted to CIFR and NCSI for review prior to submitting to OSEP for approval.
- DE and TA providers continue to work on training of fiscal issues with DE staff who will be providing training to LEA business managers.

Development of TA Center Products

State Specific and Universal

Developing State Specific Products



- State-Level Policy Review
 - Fiscal Policy Document
 - Excess Cost
 - LEA MOE
- DE LEA MOE Workbook
 - Existing State Tool
 - CIFR work to retrofit to comply with updated LEA MOE requirements

Developing Universal TA Products



- CIFR LEA MOE Calculator
 - Development Process
 - Piloting
 - Release
 - SEA to LEA Training materials
- CIFR/NCSI Excess Cost Side-by-Side
 - Coming Soon!

MOE/Excess Cost Side-By-Side

Maintenance of Effort and Excess Cost — What is the Difference?

	Maintenance of Effort	Excess Cost
What are the requirements?	Under the Maintenance of Effort, or MOE, regulations, a local education agency (LEA) must use IDEA funds to supplement state, local and other Federal funds. An LEA meets this requirement by budgeting and expending at least the same amount of either local or State and local funds for the education of children with disabilities from year to year.	Under the Excess Cost requirement, in a given year, an LEA must use IDEA funds for costs that are in excess of the average annual per-student expenditure (APPE) in an LEA during the preceding school year. An LEA meets this requirement by spending the APPE for each special education student from funds other than IDEA funds (funds may be spent concurrently).
Where can they be found in the regulations?	34 CFR §300.16 Appendix A to Part 300	34 CFR §§300.202 to 205 Appendix D to Part 300
Which funds are included to meet this requirement?	Only state and/or local funds used for special education.	Funds used for the education of children with disabilities (not just for special education) including state and local funds as well as federal funds received for essentially general education purposes such as Quality Teacher grants, Technology Education grants, Impact Aid funds, etc.
Are there any exceptions?	Yes. IDEA Part B funds may be used for all educational costs for children with disabilities for whom public education is not provided for nondisabled children including children age 3-5 (if an LEA does not (and is not required by state law) to provide preschool programs for children ages 3-5)) and children age 18-21.	Yes. There are allowable exceptions:
What is the method for calculating whether an LEA has met the	Each year, an LEA may use one of four methods (local total, local per capita, state and local total, or state and local per capita) that it has budgeted, and then expended, at least the same amount as it spent in the most recent year when it met the MOE requirements using that same method. An LEA	By the end of a fiscal year, an LEA must have spent the aggregated APPE amount spent in the LEA during the preceding school year. An LEA calculates that amount by deducting amounts of federal funds received under IDEA Part B; under Part A of

The Purpose of TA Center Work

Building State Capacity

Building State Capacity

- Initial TA Center Assistance
 - Assist DE with retrofit of LEA MOE Workbook (CIFR)
 - Ensure workbook captured all necessary LEA MOE information
 - Ensure workbook calculated LEA MOE correctly
 - Gain OSEP approval of LEA MOE Workbook for state use (CIFR)
 - Review Fiscal Policy and Procedures (CIFR/NCSI)
 - Ensure collection of MOE data is compatible for use with Excess Cost data
- Evolving TA Center Assistance
 - LEA MOE and Excess Cost Procedures (CIFR/NCSI)
 - Internal staff PD on LEA MOE and Excess Cost (CIFR/NCSI)
 - Assistance with SEA training of LEA staff (CIFR/NCSI)

How TA Centers Can Work For You

How have you engaged with fiscal TA Centers?

1. Take a couple of seconds to jot down what interactions you have had with any of the TA Centers on fiscal issues (CIFR, NCSI, IDC)?
2. Identify how this has been beneficial.
3. Share your experiences with colleagues from other states at your table.

How TA Centers Can Work For You

(continued from slide 18)



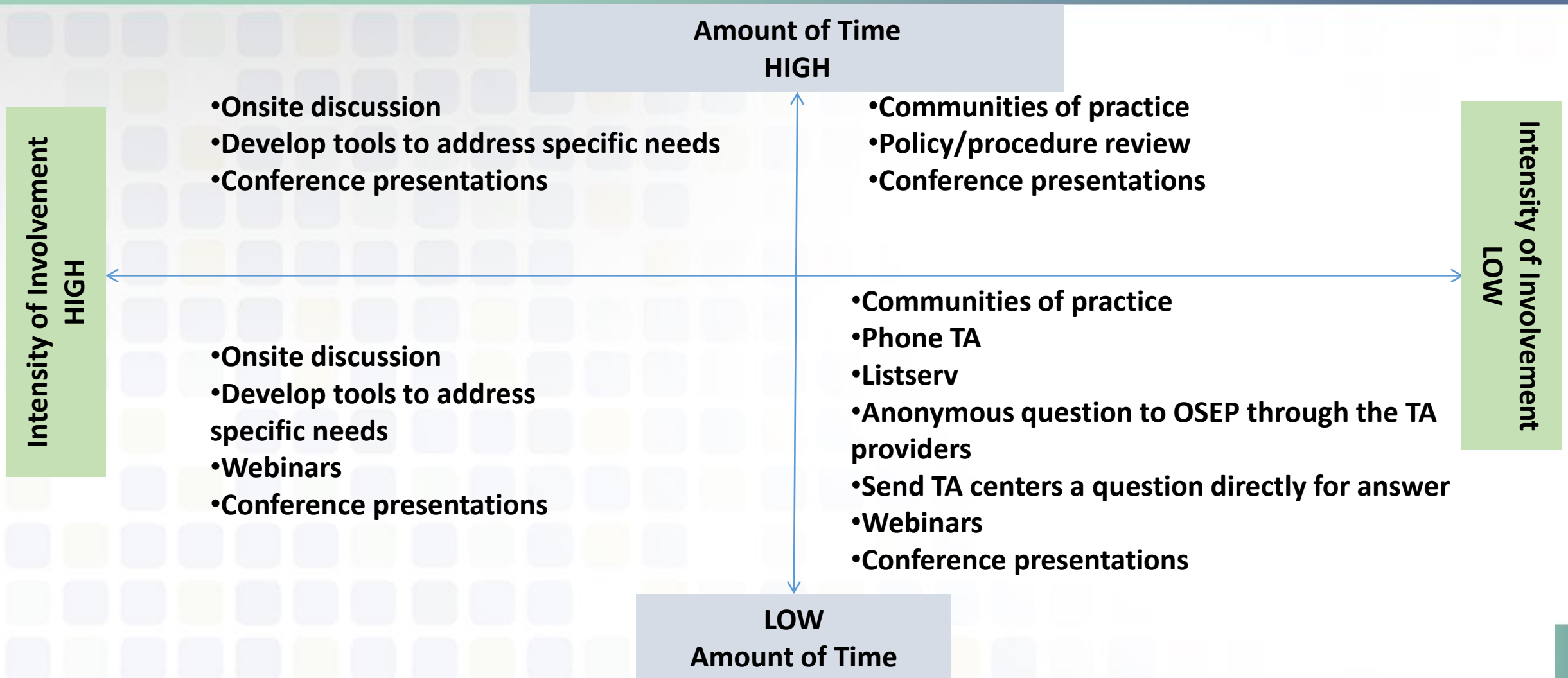
Typical Modes of TA

- (Virtual) Communities of Practice
- Listserv
- Answer State Questions
- Ask OSEP Anonymous Questions
- Review State Policies, Procedures, Practices, and Products

How TA Centers Can Work For You

(continued from slide 19)

- What works for you:
 - Open-Book: States can tell OSEP what they are working on with TA Centers
 - Confidential: TA providers can work with states behind the scenes
- Differences between OSEP's and TA providers' roles
 - OSEP: overview the law; assure compliance; won't tell states how
 - TA Providers: provide examples; contextualize to your state; share other state examples
- How and when to contact us
 - Accessing Technical Assistance on IDEA Fiscal Issues
 - Making the Right Call



How can the TA Centers assist you?



Take a few seconds to jot down, then discuss at your table:

1. How the fiscal TA Centers could be of increased benefit to you?
2. What type of TA would you like?
3. What TA resources would be beneficial for you?
4. What resources would you like the TA centers to develop?

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Locate Your State's Fiscal TA
Facilitators Now!

Contact Us



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